



Brian D. Waller

1325 Avenue of the Americas 10th Floor New York, NY 10019 P +1 212 382 0909

bwaller@pecklaw.com

# BIO

Brian Waller is a partner in the firm's New York office. He is an accomplished trial and appellate attorney with more than twenty years of experience representing corporations, financial institutions and individuals in the areas of complex commercial litigation, dispute resolution, corporate internal investigations, white-collar criminal defense and regulatory enforcement matters.

Brian's civil litigation experience encompasses matters involving complex business disputes, construction litigation, products liability, labor law, employment law, insurance coverage, professional liability and toxic torts. His white-collar defense experience includes defending clients in regulatory enforcement actions and against allegations of public corruption, securities fraud, labor law violations, Minority, Women-owned, and Disadvantaged Business Enterprises (M/W/DBE) program abuse, health care fraud, Foreign Corrupt Practices Act (FCPA) violations, antitrust violations, money laundering, bribery, insider trading, environmental crimes, tax evasion and other business crimes.

From 1993 to 1998, Brian served as an Assistant District Attorney in Bronx County, New York, where he investigated, prosecuted and tried a wide array of complex criminal matters.

# HIGHLIGHTS

# **ADMISSIONS**

- State of New York
- State of New Jersey
- United States Court of Appeals for the Second Circuit
- United States District Court for the Southern District of New York
- United States District Court for the Eastern District of New York
- United States District Court for the Northern District of New York
- United States District Court for the State of New Jersey

# **EDUCATION AND COURSES**

- Binghamton University, B.A., 1990
- Hofstra University School of Law, J.D., 1993

# **PRACTICE AREAS**

- Business Litigation
- Construction and Infrastructure
- Securities Regulatory Litigation and Compliance
- Internal Investigations
- White Collar Litigation and Regulatory Enforcement

#### **MEMBERSHIPS**

- Federal Bar Council
- New York State Association of Criminal Defense Lawyers

# **AWARDS AND RECOGNITIONS**

New York-Metro Super Lawyers, 2009-2023

# **PUBLICATIONS AND PRESENTATIONS**

- Brian has provided legal commentary for Court TV, NBC News, New York Daily News, Reuters, WPIX, BronxNet and other media outlets. He also frequently lectures on a variety of topics. Recent examples include:
- "New York City's Stop & Frisk Policy"
- "Privileges in Civil & Criminal Litigation"
- "Taking & Defending Depositions"
- "Motion Practice in Federal & State Courts"
- "The Crime Fraud Exception to the Attorney-Client Privilege"
- "The Tripartite Relationship between Insurer, Insured, and Insurance Defense Counsel"